

Energy Regulatory Commission  
*Market Operations Service - Licensing & Market Monitoring Division*

**Checklist of Requirements for Amendment of COC  
For Change of Name**

**Name of GENCO:** \_\_\_\_\_

**A. General Requirements**

- 1. Application Form for Amendment of COC (COC Form No.1)
- 2. Company Profile (COC Form No. 2)

**B. COC Application Fee**

- 3. P3,000.00

**C. Technical Qualification**

- 4. Sworn Statement that each of the Facilities will comply with the PGC and PDC for the duration of the COC (Form No.4)
- 5. Sworn Statement that the company and its Generating Facilities will comply with the Wholesale Electricity Spot Market (WESM) Rules and Manuals for the duration of the COC, upon its registration with the WESM, when applicable (Form No.5)

**D. Financial Capability**

- 6. Complete set of Audited Financial Statements (AFS) covering the two (2) most recent twelve (12) month periods, (i.e., balance sheet, income statement, statement of cash flows, notes, audit opinion and the statement of management's responsibility on the financial statements), in the amended name, if available

**E. Ownership/Control**

- 7. SEC – Amended Articles of Incorporation/ Amended Certificate of Registration
- 8. Sworn Statement that the applicant complies with and will continue to comply with the provisions on cross ownership and market share restrictions under Republic Act No. 9136, its Implementing Rules and Regulations, and these Revised Rules for the duration of the COC (Form No.6);

F. Other Requirements

9. Such other information or document that the ERC may require.

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*Evaluator's Comment/Recommendation:*

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**Legend:**

✓ - Submitted Document with Complete Entries  
Inc. - Incomplete Entries on Submitted Forms

N/A - Not applicable

**Note:**

\* Only forms/ documents with complete entries will be accepted; otherwise it will be returned to the applicant for re-submission of forms with complete entries

**Documents Checked/Evaluated by:** \_\_\_\_\_

*Signature over Printed Name - Position/Date*